

DEC 23 2004



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FACSIMILE TRANSMISSION COVER SHEET

Date: December 23, 2004

To: United States Patent and Trademark Office
Examiner: Thien F. Tran; Art Unit: 2811

Fax: (703) 872-9306

Re: **Application Serial No.: 10/678,445**
Filing Date: 10/3/2003; First Named Inventor: Kluth
Attorney Docket No.: 0180146

From: Farjami & Farjami LLP

Number of pages including the cover sheet: 17

Message:

Enclosed please find the Amendment and Response to the Non-Office Action dated October 22, 2004.

Thank you.

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Attorney Docket No.: 0180146

AMENDMENT COVER SHEETIN RE APPLICATION OF: Kluth, et al.SERIAL NO.: 10/678,445 FILED: October 3, 2003FOR: Method for Forming Polysilicon Gate on High-K Dielectric and Related StructureHONORABLE COMMISSIONER FOR PATENTS
P.O. Box 1450, Alexandria, VA 22313-1450

Sir/Madam:

Transmitted herewith is a paper in the above-identified application. Any necessary extension of time period set for this paper is hereby requested.

☒ No additional fee is required.☐ The fee has been calculated as shown below:☐ EXTENSION FEE

	RATE Non-Small Entity	RATE Small-Entity	FEE
FIRST MONTH AFTER TIME PERIOD SET	120.00	60.00	\$
SECOND MONTH AFTER TIME PERIOD SET	450.00	225.00	\$
THIRD MONTH AFTER TIME PERIOD SET	1,020.00	510.00	\$
FOURTH MONTH AFTER TIME PERIOD SET	1,590.00	795.00	\$

☐ TOTAL EXTENSION FEE \$ 0.00☐ FEE FOR EXTRA CLAIMS added by Amendment in this response:

	Column 1	Column 2	Column 3			
	Number of Claims after Amendment	Number Previously Paid for	Number of Extra Claims	RATE Non-Small Entity	RATE Small Entity	FEE
TOTAL CLAIMS	12	MINUS **20	* = 0	x 50	x 25	\$
INDEPENDENT	2	MINUS ***3	* = 0	x 200	x 100	\$
First presentation of multiple dependent claim				+ 360	+ 180	\$

TOTAL FEE FOR EXTRA CLAIMS \$ 0.00

* If the entry in Column 1 is less than the entry of Column 2, write "0" in Column 3.

** If the number of Total Claims previously paid for is less than 20, write "20" in this space.

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Attorney Docket No.: 0180146

- ☐ Total fee for Supplemental Information Disclosure Statement \$
- ☐ Enclosed is the total fee of \$ 0.00 (Payment by Credit Card, Form PTO-2038 Enclosed).
- ☐ Please charge Deposit Account No. 50-0731 in the amount of \$
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Date: 12/23/04By: 
Michael Farjami, Reg. No. 38,135CERTIFICATE OF FACSIMILE TRANSMISSION

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Date: 12-23-2004Signature: Name of Person Performing Facsimile Transmission: LESLEY L. LAM

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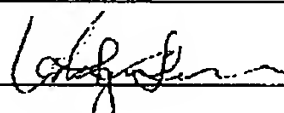
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Kluth, et al.**

Art Unit: 2811

Serial No.: 10/678,445

Examiner: Tran, Thien F.

Filed: October 3, 2003

**For: Method for Forming Polysilicon Gate
on High-K Dielectric and Related
Structure**

AMENDMENT AND RESPONSE TO NON-FINAL OFFICE ACTION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir/Madam:

This is in response to the Non-Final Office Action dated October 22, 2004 in the above-referenced patent application. Please enter and consider the following amendments and remarks.